



2008 AUG 27 AH 4:19

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Akira MATSUDA et al.

Art Unit: 4132

Application Number: 10/719,020

Examiner: Michael E. Lavilla

Filed: November 24, 2003

Confirmation Number: 9168

For: PLATING BATH FOR FORMING THIN RESISTANCE LAYER, METHOD OF FORMATION OF RESISTANCE LAYER, CONDUCTIVE BASE WITH RESISTANCE LAYER, AND CIRCUIT BOARD MATERIAL WITH RESISTANCE LAYER

Attorney Docket Number: 032130
Customer Number: 38834

REQUEST FOR REFUND

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

August 26, 2008

Sir:

Upon reviewing of the above-captioned patent, Applicants note that our Deposit Account No. 50-2866 was charged \$180.00 under Fee Code 1806 for submitting an Information Disclosure Statement on August 6, 2008.

A review of the prosecution history shows that an Information Disclosure Statement was filed on June 25, 2008. This Information Disclosure Statement (IDS) was filed under 37 CFR 1.97(c)(1)(e)(1), namely, the IDS was submitted after issuance of a first Official action on the merits and expiration of and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance. Further, the undersigned representative certified that each item of information contained in the IDS was first cited in any communication from a

Adjustment date: 08/29/2008 EEKUBAYI
08/06/2008 BMURPHY 00000002 502866 10719020
01 FC:1806 180.00 CR

Application No.: 10/719,020
Art Unit: 4132

Request for Refund
Attorney Docket No.: 032130

foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS. Accordingly, no fee should be incurred.

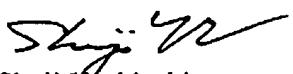
The Information Disclosure Statement filed on June 25, 2008 satisfied the time requirements specified under §1.97(c)(1)(e)(1). Accordingly, charging \$180.00 to Deposit Account No. 50-2866 was a mistake by the U.S. Patent Office.

A copy of the Deposit Account monthly statement of August 2008 accompanies this Request.

Applicants request that the Patent Office refund the amount of \$180.00 to Deposit Account 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP


Shuji Yoshizaki
Patent Agent
Registration No. 62,785
Telephone: (202) 822-1100
Facsimile: (202) 822-1111

SY/mt

Enclosures: Copy of Deposit Account Monthly Statement of August 2008
Copy of IDS filed on June 25, 2008
Copy of Dated-stamped Postcard



**United States
Patent and
Trademark Office**

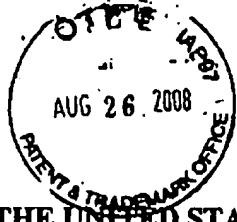


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Deposit Account Statement

Requested Statement Month: August 2008
Deposit Account Number: 502866
Name: WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP
Attention: ACCOUNTS PAYABLE
Street Address 1: 1250 CONNECTICUT AVE, NW
Street Address 2: SUITE 700
City: WASHINGTON
State: DC
Zip: 20036
Country: UNITED STATES

DATE SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/01 4	10577804	062495	1616	\$360.00	\$12,795.00
08/05 1	11581378	063008	1806	\$180.00	\$12,615.00
08/06 2	10719020	032130	1806	\$180.00	\$12,435.00
08/11 13	11579607	063284	2631	\$150.00	\$12,285.00
08/11 14	11579607	063284	2633	\$100.00	\$12,185.00
08/11 15	11579607	063284	2642	\$200.00	\$11,985.00
08/11 16	11579607	063284	2615	\$800.00	\$11,185.00
08/11 17	11579607	063284	2614	\$1,500.00	\$9,685.00
08/11 18	11579607	063284	2616	\$180.00	\$9,505.00
08/12 80	12186852	082009	1203	\$370.00	\$9,135.00
08/12 154	10131178	072312	1806	-\$180.00	\$9,315.00
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08/13 18	10715390	032116	1801	\$810.00	\$8,375.00
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08/13 13	10527805	052254	1201	-\$210.00	\$8,685.00
08/14 30	12185488	082003	1201	\$210.00	\$8,475.00
08/15 14	12185488	082003	1201	-\$210.00	\$8,685.00
08/15 19	12122252		9204	-\$260.00	\$8,945.00
08/18 9637	0205960271	081175	8013	\$25.00	\$8,920.00
08/18 125	11249442	053202	1806	-\$180.00	\$9,100.00
08/20 147	11080640	052263	8001	\$6.00	\$9,094.00



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Akira MATSUDA et al.

Art Unit: 1794

Application Number: 10/719,020

Examiner: Michael E. Lavilla

Filed: November 24, 2003

Confirmation Number: 9168

For: PLATING BATH FOR FORMING THIN RESISTANCE LAYER, METHOD OF FORMATION OF RESISTANCE LAYER, CONDUCTIVE BASE WITH RESISTANCE LAYER, AND CIRCUIT BOARD MATERIAL WITH RESISTANCE LAYER

Attorney Docket Number: 032130
Customer Number: 38834

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(c)(1)/(e)(1)**

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

June 25, 2008

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO/SB/08. A copy of each non - U.S. document is attached.

Documents 1, 2, 4, and 5 were cited in an Office Action for a corresponding Chinese application. A copy of the Office Action is also enclosed.

Document 3 is a patent family member of document 5 and not directly cited in the Office Action.

This Information Disclosure Statement (IDS) is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

Application No.: 10/719,020
Art Unit: 1794

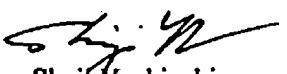
Information Disclosure Statement under 1.97(c)(1)
Attorney Docket No.: 032130

The undersigned hereby certifies that each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS. A copy of the foreign communication citing the documents is attached.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



Shuji Yoshizaki
Limited Recognition
Registration No. L0111
Telephone: (202) 822-1100
Facsimile: (202) 822-1111

SY/mt
Enclosures: Limited Recognition
PTO/SB/08
2 Documents
Foreign Communication



**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATES PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 11.9(b)

Shuji Yoshizaki is hereby given limited recognition under 37 CFR §11.9(b) as an employee of Westerman Hattori Daniels & Adrian, LLP, to prepare and prosecute patent applications wherein the patent applicant is the client of Westerman Hattori Daniels & Adrian, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of Westerman Hattori Daniels & Adrian, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Shuji Yoshizaki ceases to lawfully reside in the United States, (ii) Shuji Yoshizaki's employment with Westerman Hattori Daniels & Adrian, LLP ceases or is terminated, or (iii) Shuji Yoshizaki ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Limited Recognition No. L0111.
Expires: July 7, 2010



Harry I. Moatz
Director of Enrollment and Discipline



Combined Form PTO/SB/08A&B

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	10/719,020
Confirmation Number	9168
Application Filing Date	November 24, 2003
First Named Inventor	Akira MATSUDA et al.
Art Unit	1794
Examiner Name	Michael E. Lavilla
Attorney Docket Number	032130

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
1	US 5,985,124	A	11-16-1999	Yoda et al.	
2	US 5,049,221	A	09-17-1991	Wada et al.	
3	US 2002/0170827	A1	11-21-2002	Furuya	
	US				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
4	SU	699037	A1	11-23-1979			Yes
5	CN	1374827	A	10-16-2002	Furukawa Electric Co., Ltd.		Abstract, Doc. 3

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
6	Chinese Office Action dated March 28, 2008 of CN2003101179523		

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.



U.S. Patent Application
Serial No. 10/719,020
Applicant: Akira MATSUDA et al.

Docket No. 032130
Filed November 24, 2003

Papers filed herewith on June 25, 2008

Encls.: IDS w/ PTO/SB/08, 2 Docs, and Foreign Communication

SY/mt
3203-0033



COMMISSIONER OF PATENTS
Receipt is hereby acknowledged of the papers filed as indicated
in connection with the above-identified case.